



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joshua M. Girvin and John W. Lerch  
Application No.: 10/806,658 Group Art Unit: 2632  
Filed: March 23, 2004 Examiner: Not yet assigned  
Confirmation No.: 1153  
Title: NON-REUSABLE IDENTIFICATION DEVICE

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
2/25/05	Nadine Z. Kush
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INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)
- ☐ under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.  
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- ☐ Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- ☒ Enclosed herewith is form PTO-1449:
  - ☐ Copies of the cited references are enclosed.
  - ☒ Copies of issued U.S. patents and published U.S. applications are not required and are not being provided.
  - ☐ Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [ ], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]
  - ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
  - ☐ The "concise explanation" requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
    - ☐ the explanation provided on the attached sheet.
    - ☐ the explanation provided in the Specification.
    - ☐ submission of the enclosed International Search Report.
    - ☐ submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
    - ☐ the enclosed English language abstract.

☐ Applicant requests that the following non-published pending applications be considered:

Examiner's  
Initials

\_\_\_\_ U.S. Patent Application No. [     ], by [inventor(s)], filed [     ], Docket No.: [     ]  
\_\_\_\_ U.S. Patent Application No. [     ], by [inventor(s)], filed [     ], Docket No.: [     ]  
\_\_\_\_ U.S. Patent Application No. [     ], by [inventor(s)], filed [     ], Docket No.: [     ]

\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date

- ☐ A copy of each above-cited application, including the current claims, is enclosed, except any application filed on or after June 30, 2003, which has been scanned into the PTO's Image File Wrapper (IFW) system and is available to the examiner.
- ☐ A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [     ], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- ☐ A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$[     ]. A copy of this Statement is enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

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Dated: 2/24/05

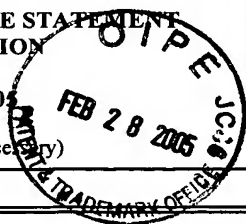
PTO-1449 REPRODUCED		ATTORNEY DOCKET NO. 3703.1000-001		APPLICATION NO. 10/806,658	
<b>INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION</b>  February 23, 2005 (Use several sheets if necessary)		FIRST NAMED INVENTOR Joshua M. Girvin		FILING DATE March 23, 2004	
		EXAMINER Not yet assigned		CONFIRMATION NO. 1153	
				GROUP 2632	

## U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER Number-Kind Code (if known)	ISSUE DATE / PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	AA	4,736,196	04-05-1988	McMahon, <i>et al.</i>
	AB	4,800,543	01-24-1989	Lydon-James, <i>et al.</i>
	AC	4,833,807	05-30-1989	McLean
	AD	4,835,372	05-30-1989	Gombrich, <i>et al.</i>
	AE	4,885,571	12-05-1989	Pauley, <i>et al.</i>
	AF	4,973,944	11-27-1990	Maletta
	AG	4,980,671	12-25-1990	McCurdy
	AH	5,364,133	11-15-1994	Hofer, <i>et al.</i>
	AI	5,374,921	12-20-1994	Martin, <i>et al.</i>
	AJ	5,423,574	06-13-1995	Forte-Pathroff
	AK	5,430,441	07-04-1995	Bickley, <i>et al.</i>
	AA2	5,457,906	10-17-1995	Mosher, Jr.
	AB2	5,471,197	11-28-1995	McCurdy, <i>et al.</i>
	AC2	5,504,474	04-02-1996	Libman, <i>et al.</i>
	AD2	5,512,879	04-30-1996	Stokes
	AE2	5,612,675	03-18-1997	Jennings, <i>et al.</i>
	AF2	5,627,520	05-06-1997	Grubbs, <i>et al.</i>
	AG2	5,781,442	07-14-1998	Engleson, <i>et al.</i>
	AH2	5,831,535	11-03-1998	Reisman, <i>et al.</i>
	AI2	5,883,576	03-30-1999	De La Huerga
	AJ2	5,973,598	10-26-1999	Beigel
	AK2	5,973,600	10-26-1999	Mosher, Jr.
	AA3	5,977,877	11-02-1999	McCulloch, <i>et al.</i>
	AB3	5,979,941	11-09-1999	Mosher, <i>et al.</i>
	AC3	6,021,494	02-01-2000	Bolan, <i>et al.</i>
	AD3	6,043,746	03-28-2000	Sorrells

EXAMINER	DATE CONSIDERED
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PTO-1449 REPRODUCED		ATTORNEY DOCKET NO. 3703.1000-001		APPLICATION NO. 10/806,658	
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	AE3	6,050,622	04-18-2000	Gustafson
	AF3	6,055,756	05-02-2000	Aoki
	AG3	6,072,396	06-06-2000	Gaukel
	AH3	6,104,295	08-15-2000	Gaisser, <i>et al.</i>
	AI3	6,107,920	08-22-2000	Eberhardt, <i>et al.</i>
	AJ3	6,142,368	11-07-2000	Mullins, <i>et al.</i>
	AK3	6,144,303	11-07-2000	Federman
	AA4	6,219,789 B1	04-17-2001	Little, <i>et al.</i>
	AB4	6,255,951 B1	07-03-2001	De La Hueraga
	AC4	6,335,907 B1	01-01-2002	Momich, <i>et al.</i>
	AD4	6,346,886 B1	02-12-2002	De La Hueraga
	AE4	6,349,493 B1	02-26-2002	Newman, <i>et al.</i>
	AF4	6,352,045 B1	03-05-2002	Takashima
	AG4	6,352,205 B1	03-05-2002	Mullins, <i>et al.</i>
	AH4	6,384,727 B1	05-07-2002	Diprizio, <i>et al.</i>
	AI4	6,421,013 B1	07-16-2002	Chung
	AJ4	6,424,623 B1	07-23-2002	Borgstahl, <i>et al.</i>
	AK4	6,431,455 B1	08-13-2002	Ponert
	AA5	6,434,158 B1	08-13-2002	Harris, <i>et al.</i>
	AB5	6,434,159 B1	08-13-2002	Woodward, <i>et al.</i>
	AC5	6,472,989	10-29-2002	Roy, Jr.
	AD5	6,474,557	11-05-2002	Mullins, <i>et al.</i>
	AE5	6,483,427 B1	11-19-2002	Werb
	AF5	6,663,006 B2	12-16-2003	Mullins, <i>et al.</i>
	AG5	6,693,543	02-17-2004	Stephenson, <i>et al.</i>
	AH5	6,744,367	06-01-2004	Foster

EXAMINER	DATE CONSIDERED
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APPLICATION NO.  
10/806,658

**INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION**

**February 23, 2005**

(Use several sheets if necessary)

FIRST NAMED INVENTOR  
Joshua M. Girvin

FILING DATE  
March 23, 2004

EXAMINER  
Not yet assigned

CONFIRMATION NO.  
1153

GROUP  
2632

## U.S. PATENT DOCUMENTS

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DATE CONSIDERED